#### **CSE 5526: Introduction to Neural Networks**

# **Deep Networks**

#### Motivation

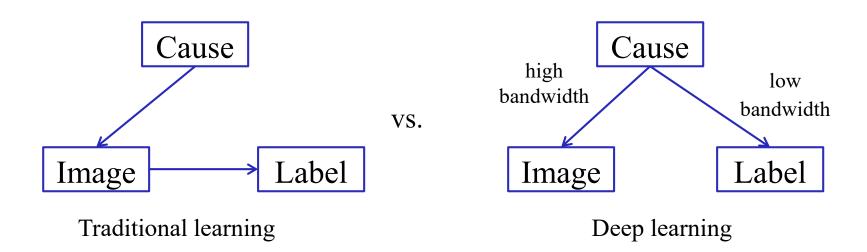
- Shallow nets involve one (hidden) layer of feature detectors followed by an output layer, e.g. MLP with one hidden layer, RBF, and SVM
- Deep nets use more than one hidden layer, e.g. convolutional nets
  - Ex: The addition of two 3-bit binary numbers. The most natural solution would use a small net of two hidden layers. A shallow net can do the job, but with a large net

### Motivation (cont.)

- Backprop is applicable to an arbitrary number of hidden layers. But in practice, it exhibits vanishing gradients in shallower (near the input layer) layers. As a result, training is slow and tends to overfit the data
- To overcome this problem, we train a deep net by dividing training into two phases:
  - Use unsupervised, generative pre-training to initialize a deep net
  - Use discriminative fine-tuning to finalize the net

### Motivation (cont.)

• Why two phases?

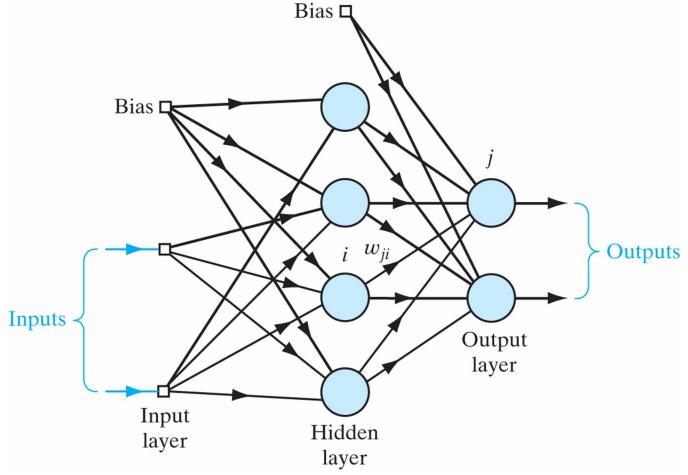


### Motivation (cont.)

- Because overfitting is not as problematic for unsupervised learning, we expect deep learning to generalize better
- In addition, Phase 1 allows us to validate what the net has learned by generating patterns from the learned, generative model

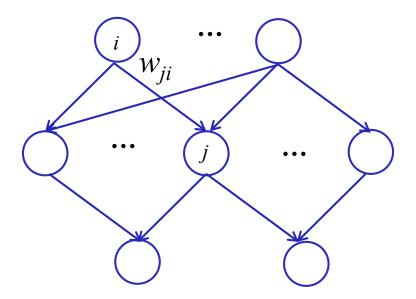
# Logistic belief net

An example logistic belief net



### Logistic belief net (cont.)

• Each unit is bipolar (binary) and stochastic. Generating data from the belief net is easy



#### State of each unit

• Given the bipolar states of the units in layer k, we generate the state of each unit in layer k-1:

$$P(h_j^{(k-1)} = 1) = \varphi(\sum_i w_{ji}^{(k)} h_i^{(k)})$$

where superscript indicates layer number and

$$\varphi(x) = \frac{1}{1 + \exp(-x)}$$

a logistic activation function

# Learning rule

- The bottom layer  $\mathbf{h}^{(0)}$  is the same as the visible layer  $\mathbf{v}$
- Learning in a belief net is to maximize the likelihood of generating the input patterns applied to **v**. Similar to Boltzmann learning, we have

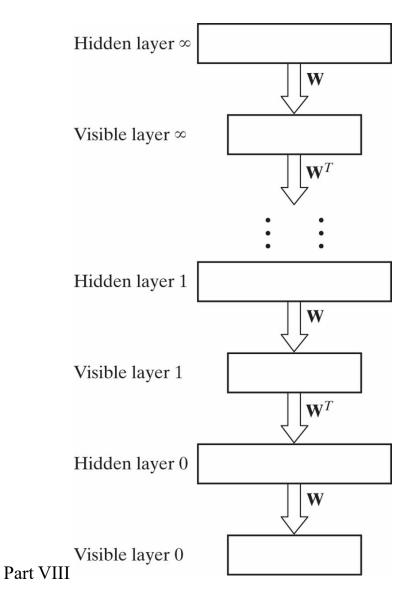
$$\Delta w_{ji} = \langle h_i^{(k)} \{ h_j^{(k-1)} - 2[P(h_j^{(k-1)} = 1) - \frac{1}{2}] \} \rangle$$

- The difference term in the above equation includes an evaluation of the posterior prob. given the training data
- Computing posteriors is, unfortunately, very difficult

# A special belief net

- However, for a special kind of belief net, computing posteriors is easy
- Consider a logistic belief net with an infinite no. of layers and tied weights
  - That is, a deep belief net (DBN)

### Infinite logistic net



• In such a net, sampling the posterior prob. is the same as generating data in belief nets

### Learning in DBN

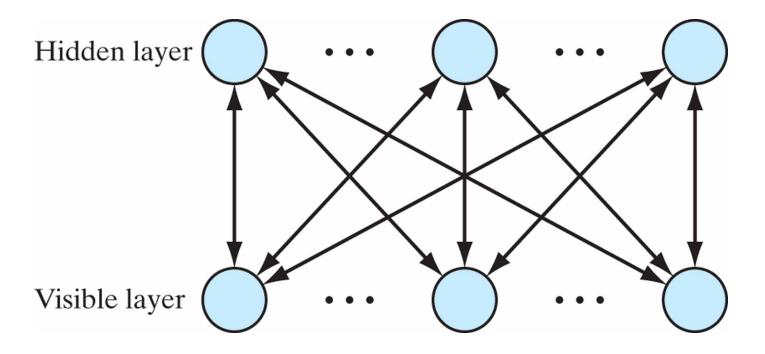
Because of the tied weights,

$$\frac{\partial L(\mathbf{w})}{\partial w_{ji}} = \langle h_i^{(0)} (v_j^{(0)} - v_j^{(1)}) \rangle + \langle v_j^{(1)} (h_i^{(0)} - h_i^{(1)}) \rangle + \langle h_i^{(1)} (v_j^{(1)} - v_j^{(2)}) \rangle \cdots$$

$$= < h_i^{(0)} v_j^{(0)} > - < h_i^{(\infty)} v_j^{(\infty)} >$$

#### Restricted Boltzmann machines

• A restricted Boltzmann machine (RBM) is a Boltzmann machine with one visible layer and one hidden layer, and no connection within each layer



# Energy function of RBM

• The energy function is:

$$E(\mathbf{v}, \mathbf{h}) = -\sum_{i} \sum_{j} w_{ji} v_{j} h_{i}$$

• Setting T = 1, we have

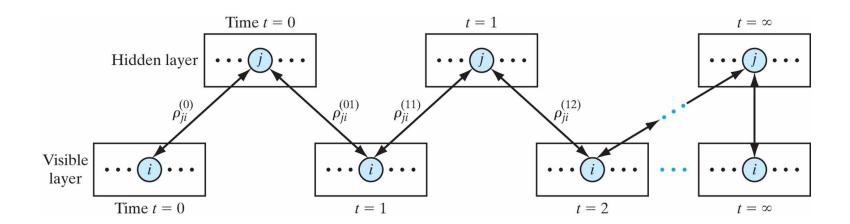
$$\frac{\partial L(\mathbf{w})}{\partial w_{ji}} = \rho_{ji}^{+} - \rho_{ji}^{-}$$

$$= \langle h_i^{(0)} v_j^{(0)} \rangle - \langle h_i^{(\infty)} v_j^{(\infty)} \rangle$$

• The second correlation is computed using alternating Gibbs sampling until thermal equilibrium

# Learning in RBM

- This rule is exactly the same as the one for the infinite logistic belief net
  - Hence the equivalence between learning a DBN and an RBM



# Contrastive divergence

• In practice, a quick way to learn RBM:

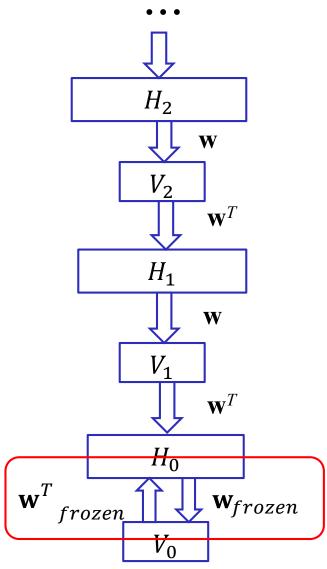
$$\Delta w_{ji} = \eta \left( < h_i^{(0)} v_j^{(0)} > - < h_i^{(1)} v_j^{(1)} > \right)$$

• The above rule is called contrastive divergence

# Training a general deep net layer-by-layer

- 1. First learn w with all weights tied
- 2. Freeze (fix) w, which represents the learned weights for the first hidden layer
- 3. Learn the weights for the second hidden layer by treating responses of the first hidden layer to the training data as "input data"
- 4. Freeze the weights for the second hidden layer
- 5. Repeat steps 3-4 as many times as the prescribed number of hidden layers

#### Illustration



• This training process is the same as repeatedly training RBMs layer-by-layer, which is adopted due to its simplicity

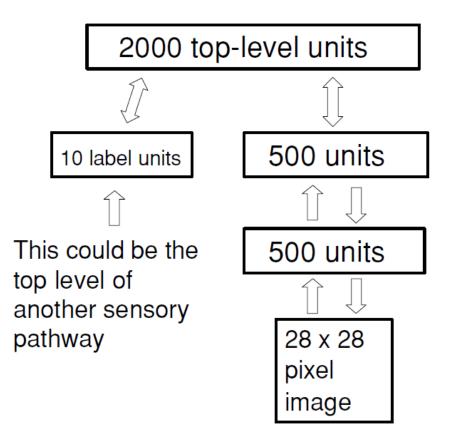
#### Remarks

- As the number of layers increases, the maximum likelihood approximation of the training data improves
- For discriminative training (e.g. for classification) we add an output layer on top of the learned generative model, and train the entire net by a discriminative algorithm
  - e.g. backprop or SVM
- Although much faster than Boltzmann machines (e.g. no simulated annealing), pretraining is still quite slow, and involves a lot of design as for MLP

### **Applications**

- DNNs have been successfully applied to a large number of tasks
- Ex: MNIST handwritten digit recognition
  - A DNN with two hidden layers achieves 1.25% error rate, vs. 1.4% for SVM and 1.5% for MLP

# Digit recognition DNN



 The network used to model the joint distribution of digit images and digit labels

# Digit recognition illustration

• Samples from the learned generative model

• Each row shows 10 samples from the generative model with a particular label clamped on. The top-level associative memory is run for 1000 iterations of alternating Gibbs sampling between samples

### More samples



• Each row shows 10 samples from the generative model with a particular label clamped on. The top-level associative memory is initialized by an up-pass from a random binary image in which each pixel is on with a probability of 0.5. The first column shows the results of a down-pass from this initial high level state. Subsequent columns are produced by 20 iterations of alternating Gibbs sampling in the associative memory